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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Appl. No. : 10/773,880 Confirmation No. 7460  
First Named : Phil Verinsky  
Inventor  
Filed : 2/6/2004  
TC/A.U. : Optical Drive Controller Art Unit 2185  
with a host Interface for  
Direct Connection to an  
IDE/ATA Data Bus  
Examiner : Thuan N. Du  
Docket No. : 3551.P006C3  
Customer No. : 08791

MS: Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

FOURTH NOTIFICATIONS OF RELATED LITIGATION UNDER MPEP § 2001.06 (C)

Examiner:

Applicant submitted a First, Second and Third Notice of Related Litigation (and associated materials) on August 4, 2004, June 10, 2005, and August 5, 2005 regarding:

A) an investigation (337-TA-409) instituted by the International Trade Commission regarding patent number 5,581,715 (which issued based on a parent of this application) & Appeal therefrom to the CAFC;<sup>1</sup> B) Northern District of California Proceeding Regarding the 5,581,715 Patent; C) ITC Proceedings regarding the 6,584,527 and 6,546,440 Patents; and D) Northern District<sup>2</sup> of California Proceeding Regarding the 6,584,527 and 6,546,660 Patents.

Applicant submits updates regarding the litigation below using the same reference letters (A-D) used in the First, Second, and Third Notice of Related Litigation.

A. ITC Proceedings (337-TA-409) and Appeal Therefrom to the CAFC regarding the 5,581,715 Patent

There are no new materials from these proceedings to submit.

B. Northern District of California Proceeding Regarding the 5,581,715 Patent

Unfortunately, the other documents in these proceedings have largely been filed under seal. However, it is believed that they will be largely cumulative with regard to the ITC proceedings discussed in the next section.

C. ITC Proceedings (337-TA-506) regarding the 6,584,527 and 6,546,440 Patents<sup>3</sup>

As indicated in the Third Notice of Related Litigation, there was an appeal to the Commission. There are news articles indicating that the Commission has largely or completely confirmed the ALJ's Initial Determination, but the public version of the Commission's decision is not yet available; Applicant will submit the public version when it is available.

D. Northern District<sup>4</sup> of California Proceeding Regarding the 6,584,527 and 6,546,440 Patents

Regarding the Defendant MediaTek's motion for summary judgment due to on-sale bar, the attached IDS includes public versions of the following: 1) Plaintiffs Zoran Corporation and Oak Technology, Inc.'s Response to Defendant MediaTek's Supplemental Brief in Support of Motion for Summary Judgment of Invalidity Due to

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<sup>1</sup> There was also a prior ITC investigation regarding this patent (337-TA-401). However, the issues raised in the 337-TA-401 are subsumed in the ITC investigation (337-TA-409)

<sup>2</sup> These proceedings were transferred to the Northern District of California.

<sup>3</sup> Please note that these proceeding include an additional patent, the 6,466,736 patent, which is not part of the same patent family as the '527 and 440 patents.

<sup>4</sup> These proceedings were transferred to the Northern District of California.

On-sale Bar Under 35 USC § 102(B)<sup>5</sup>; and 2) an Order Denying Defendant's Motion for Summary Judgment of Invalidity Due to On-Sale Bar.<sup>6</sup> Regarding the Defendant MediaTek's motion for summary judgment due to improper inventorship, the attached IDS includes a public version of Plaintiffs Zoran Corporation and Oak Technology, Inc.'s Opposition to Defendants' Motion for Summary Judgment of Invalidity Due to Improper Inventorship Under 35 USC § 102(F).<sup>7</sup>

Unfortunately, the other documents in these proceedings have largely been filed under seal. However, it is believed that they will be largely cumulative with regard to the ITC proceedings discussed in the previous section.

### *Conclusion*

As before, there are additional materials beyond what is enclosed. If any such additional materials are desired, applicant will make its best effort to provide such documents upon request.

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<sup>5</sup> Zoran Corporation and Oak Technology, Inc. v. Mediatek, Inc., Audiovox Corporation, Changzhou Shinco Digital Technology, Inc., et al., Case No. C04-02619 RMW (PVT), C04-04609 RMW (PVT), In the United States District Court, For the Northern District of California, San Jose Division, "Plaintiffs Zoran Corporation and Oak Technology, Inc.'s Response to Defendant Mediatek's Supplemental Brief in Support of Motion for Summary Judgment of Invalidity due to On-Sale Bar" E-filed 9/6/05

<sup>6</sup> Zoran Corporation and Oak Technology, Inc. v. Mediatek, Inc., Miteck Digital, Inc., Asustek Computer, Inc., et al., Case No. C04-02619 RMW, In the United States District Court, For the Northern District of California, San Jose Division, "Order Denying Defendant's Motion for Summary Judgment of Invalidity Due to the On-Sale Bar" [Re: Docket No. 71], E-Filed on 10/17/05

<sup>7</sup> Zoran Corporation and Oak Technology, Inc. v. Mediatek, Inc., Audiovox Corporation, Changzhou Shinco Digital Technology, Inc., et al., Case No. C04-02619 RMW (PVT), C04-04609 RMW (PVT), In the United States District Court, For the Northern District of California, San Jose Division, "Plaintiffs Zoran Corporation and Oak Technology, Inc.'s Opposition to Defendant's Motion for Summary Judgment of Invalidity due to Improper Inventorship" E-filed on 8/12/05 (Public Version)

*Invitation for a telephone interview*

The Examiner is invited to call the undersigned at 408-720-8300 if there any questions regarding this material.

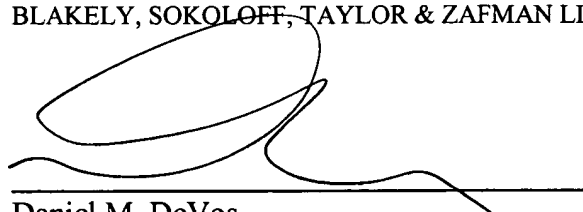
*Charge our Deposit Account*

Please charge any shortage to our Deposit Account No. 02-2666.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Date: 16/18, 2005

  
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THE UNITED STATES PATENT AND TRADEMARK OFFICE

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First Named Inventor : Phil Verinsky  
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TC/A.U. : Optical Drive Controller with a host Interface for Direct Connection to an IDE/ATA Data Bus Art Unit 2116  
Examiner : Thuan N. Du  
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Customer No. : 08791

MS: Issue Fee  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Sir:

Enclosed is a copy of the reference listed on the attached PTO-1449 form. The Examiner is requested to make these references part of the official record in this application. As such, **THIS IS NOT A REQUEST FOR CONTINUATION EXAMINATION.**

In accordance with 37 C.F.R. 1.97(h), this information disclosure statement shall not be construed as an admission that the information cited in the statement is, or is considered to be, material to patentability as defined in 37 C.F.R. 1.56 (b). Further, the submission of the foregoing materials is not an admission that they constitute prior art to the above-referenced application.

**FIRST CLASS CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage in an envelope addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on October 18, 2005

Name: Jane Dobkins

Signature: Jane Dobkins Date: October 18, 2005


Should the Examiner have any questions or comments, the Examiner is requested to contact the undersigned at the number indicated below.

If there are any additional charges, please charge Deposit Account No. 02-2666.

Respectfully submitted,

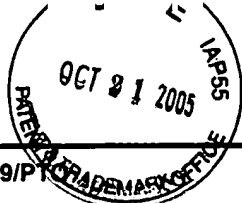
BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Dated: 16/12, 2005



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Substitute for Form 1449/P

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

## Complete if Known

Application Number	10/773,880
Filing Date	February 6, 2004
First Named Inventor:	Phil Verinsky
Art Unit	2116
Examiner Name	Thuan N. Du
Attorney Docket Number	3551.P006C3

Sheet 1 of 1

## NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T <sup>2</sup>
		<u>Zoran Corporation and Oak Technology, Inc. v. Mediatek, Inc., Audiovox Corporation, Changzhou Shinco Digital Technology, Inc., et al.</u> , Case No. C04-02619 RMW (PVT), C04-04609 RMW (PVT), In the United States District Court, For the Northern District of California, San Jose Division, "Plaintiff's Zoran Corporation and Oak Technology, Inc.'s Response to Defendant Mediatek's Supplemental Brief in Support of Motion for Summary Judgment of Invalidity due to On-Sale Bar" E-filed 9/6/05	
		<u>Zoran Corporation and Oak Technology, Inc. v. Mediatek, Inc., Audiovox Corporation, Changzhou Shinco Digital Technology, Inc., et al.</u> , Case No. C04-02619 RMW (PVT), C04-04609 RMW (PVT), In the United States District Court, For the Northern District of California, San Jose Division, "Plaintiff's Zoran Corporation and Oak Technology, Inc.'s Opposition to Defendant's Motion for Summary Judgment of Invalidity due to Improper Inventorship" E-filed on 8/12/05 (Public Version)	
		<u>Zoran Corporation and Oak Technology, Inc. v. Mediatek, Inc., Mintek Digital, Inc., Asustek Computer, Inc., et al.</u> , Case No. C04-02619 RMW, In the United States District Court, For the Northern District of California, San Jose Division, "Order Denying Defendant's Motion for Summary Judgment of Invalidity Due to the On-Sale Bar" [Re: Docket No. 71], E-Filed on 10/17/05	
Examiner Signature		Date Considered	

\*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>Applicant is to place a check mark here if English Translation is attached. This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SENT FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2